

07-30-01

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JC012 U.S. P.O.  
07/27/01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. A-67379-1/RMA

Anticipated Classification of  
this Application:

Class: 380

Prior Application: Serial No. 09/237,317

Filing Date: January 26, 1999

Prior Examiner: S. Kabakoff

Art Unit: 2132

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Date of Deposit: July 27, 2001

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TYPED NAME R. Michael Ananian

SIGNED

*R. Michael Ananian*Box PATENT APPLICATION FEE  
Assistant Commissioner for Patents  
Washington, DC 20231jc996 U.S. P.O.  
09/916838  
07/27/0109/916838  
07/27/01

This is a request for filing an

- Original
- X Continuation
- Divisional
- Continuation-in-part

application under 37 C.F.R. 1.53(b), in the name of Henry T. Fung and John Huan Zhao, both of San Jose, California, for:

## UNIVERSAL MOBILE ID SYSTEM AND METHOD FOR DIGITAL RIGHTS MANAGEMENT

This continuation application claims priority to pending application Serial No. 09/237,317 filed January 26, 1999.

1. (a)    Enclosed is a new application.
- (b)    Enclosed is a continuation-in-part application.
- (c) X Enclosed is a copy of the prior application.
2. (a)    Enclosed is a new Declaration.
- (b) X Enclosed is a copy of the prior executed Declaration.
3. (a)    Enclosed is a Small Entity Affidavit.
- (b) X A Small Entity Affidavit is of record in the prior application.
4. X Cancel in this application original claims 2-41 of the prior application before calculating the filing fee. (At least one independent claim must be retained for filing purposes.)
5. X The filing fee is calculated below:
- Claims as filed in the prior application, less any claims canceled by amendment below:

	(Col. 1)	(Col. 2)	<u>SMALL ENTITY</u>		<u>OTHER THAN A SMALL ENTITY</u>	
FOR:	<u>NO. FILED</u>	<u>NO. EXTRA</u>	<u>RATE</u>	<u>FEE</u>	<u>RATE</u>	<u>FEE</u>
BASIC FEE				\$355		\$710
TOTAL CLAIMS	25 - 20 = 5		x 9 =	\$ 45	x 18 =	\$
INDEP CLAIMS	5 - 3 = 2		x 40 =	\$ 80	x 80 =	\$
[ ] MULTIPLE DEPENDENT CLAIM			+130 =	\$	+260 =	\$
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			TOTAL <b>\$480.00</b>			

6. X The Commissioner is hereby authorized to charge the filing fees, extension fees and any additional fees which may be required, to Deposit Account No. 06-1300 (Order No. A-67379-1/RMA).

7.     Our check in the amount of \$ is enclosed.

8. X Amend the specification by inserting before the first line the sentence:

— This is a continuation of application Serial No. 09/237,317 filed January 26, 1999. —

9. (a)     Informal drawings are enclosed.

(b) X 5 sheets of formal drawings are enclosed.

10. (a)     Priority of application Serial No.        filed on        in        is claimed under 35 U.S.C. 119.

(b)     The certified copy has been filed in prior application Serial No.        filed on       .

11. X The prior application is assigned of record to **INFOLIO, INC.**

12. X The power of attorney in the prior application is to:

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
Suite 3400, Four Embarcadero Center  
San Francisco, California 94111-4187

(a) X The power appears in the original papers in the prior application.

(b)     Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

(c)     A new power has been executed and is enclosed.


(d)     Address all future communications to:

R. Michael Ananian  
FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
Suite 3400, Four Embarcadero Center  
San Francisco, California 94111-4187  
Telephone: (415) 781-1989

13. X A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
14.     An Information Disclosure Statement and Form 1449 are enclosed.
15. X I hereby verify that the attached papers are a true duplicate of prior application Serial No. 09/237,317 as originally filed on January 26, 1999.

Applicants have concurrently filed a Notice of Appeal in the parent application, together with a Petition for Extension of Time (3 months, small entity).

Respectfully submitted,  
FLEHR HOHBACH TEST  
ALBRITTON & HERBERT, LLP

By   
R. Michael Ananian  
Reg. No. 35,050

4 Embarcadero Center Suite 3400  
San Francisco, CA 94111  
(415) 781-1989

09/237,317-072701

## IN UNITED STATES PATENT AND TRADE OFFICE

Date: January 26, 1999File No. A-67379/AJT/DJCAssistant Commissioner for Patents  
Washington, DC 20231

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 TYPED NAME: Evanjin Dasalla

SIGNED 

Sir:

Transmitted herewith for filing is the patent application of Inventors:

PRIOR APPLICATION

HENRY T. FUNG, JOHN HUAN ZHAO

For: UNIVERSAL MOBILE ID SYSTEM AND METHOD FOR DIGITAL RIGHTS MANAGEMENT

Enclosed are also:

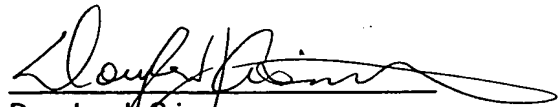
- ☐ Prior Art Statement  
☒ 5 Sheets of drawing, Formal ☒ Informal ☐  
☐ An Assignment of the invention to: ☐  
     Cost of recording included in enclosed check.  
☐ Power of Attorney by Assignee & Exclusion of Inventor Under 37 CFR 1.32  
☐ Combined Declaration and Power of Attorney for Patent Application  
☒ Declaration for Patent Application (unexecuted)  
☐ Associate Power of Attorney  
☐ Small Entity Status Declaration Under 37 CFR 1.9 (f) and 1.27 (c)  
☐ Genetic Sequence Submission: Paper copy, Computer Readable Copy; Statement Verifying Identical Paper and Computer Readable Copy

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY RATE FEE	OTHER THAN SMALL ENTITY RATE FEE
BASIC FEE			\$380	\$790
TOTAL CLAIMS	41 - 20 =	21	x 9 = \$189	x 22 = \$
INDEP CLAIMS	5 - 3 =	2	x 39 = \$ 78	x 80 = \$
MULTIPLE DEPENDENT CLAIM PRESENTED			+ 130 = \$	+ 260 = \$--
If the difference in Col 1 is less than zero, enter "0" in Col. 2			TOTAL \$647	TOTAL \$

☒ No payment is enclosed with the filing of this application.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 06-1300 (Order No. A-67379/AJT).  
 Two copies of this sheet are enclosed.

Respectfully submitted,

  
 Douglas J. Crisman  
 Reg. No. 39,951

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
 Four Embarcadero Center, Suite 3400  
 San Francisco, California 94111-4187  
 Telephone: (650) 494-8700 Fax: (650) 398-3249

91816 (1.14) 08/95 A-67379/AJT/DJC

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